



OVERVIEW OF OPA and NPFC

Origins

The Oil Pollution Act of 1990 (OPA) became law on 18 August 1990 in response to the need for legislation to govern the discharge or substantial threat of discharge of oil into the navigable waters, adjoining shorelines, and "exclusive economic zone" of the United States. The Oil Spill Liability Trust Fund (OSLTF) was designated by OPA as a funding source to carry out the Statute and its administration and management was delegated to the United States Coast Guard. In response to this fiduciary responsibility, the Commandant established the National Pollution Funds Center (NPFC) on 20 February 1991. The NPFC is an independent Coast Guard Headquarters Unit reporting directly to the Chief of Staff.

Roles and Missions

The NPFC is the fiduciary agent for the OSLTF and the portion of the Superfund used by the US Coast Guard for response to hazardous substance released in the coastal zone. In accordance with OPA, and other pertinent laws and regulations, the NPFC executes programs to accomplish the following principal objectives:

1. Provide funding to permit timely removal actions;
2. Provide funding for the initiation of natural resource damage assessments (NRDA) for oil spill incidents;
3. Compensate claimants who demonstrate certain types of damages caused by oil pollution;
4. Recover funds owed by parties responsible for oil pollution costs and damages; and
5. Certify the financial responsibility of vessel owners and operators;
6. Manage the US Coast Guard use of the Superfund for response to hazardous material releases.

Assisting the Coast Guard with the administration of the OSLTF is the Treasury Department which is the Trustee for the Fund, the Environmental Protection Agency (EPA) which coordinates the cleanup of inland oil spills, and trustees who oversee the restoration of natural resource damages.

Oil Spill Liability Trust Fund (OSLTF)

The OSLTF consists of the Emergency Fund and the Principal Fund, which together may reach \$1 billion. Annually, the Emergency Fund is provided with \$50 million no-year money to fund removal actions by the On-Scene Coordinator (OSC), initiation of NRDA's by federal trustees, and immediate removal actions by states. The Principal Fund, the remainder of the OSLTF, is comprised of monies from tax collections, recoveries, fines, penalties, and interest. It is used to pay claims and for Congressional appropriations to carry out other OPA requirements.



**CERCLA/
Superfund**

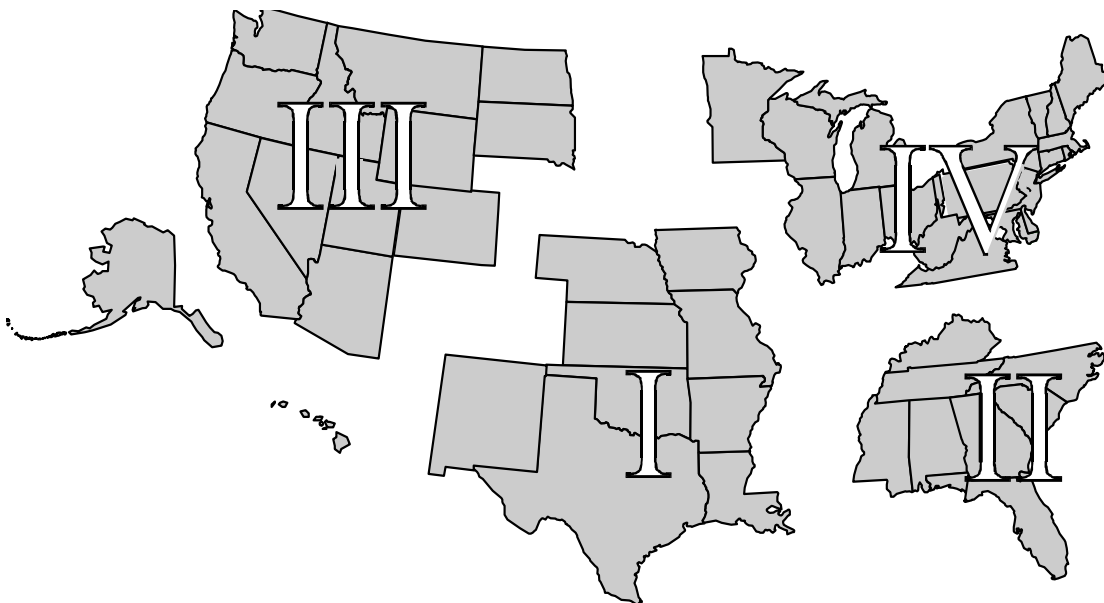
The NPFC also serves as the Coast Guard's Comprehensive Environmental Response, Compensation and Liability Act (CERCLA)/Superfund manager for funds provided by EPA for hazardous substance incident response. CERCLA funding is used to provide Coast Guard FOSCs with the training and equipment to respond to hazardous substance incidents.

THE CASE TEAM CONCEPT

**Case Officer/
Case Team Concept**

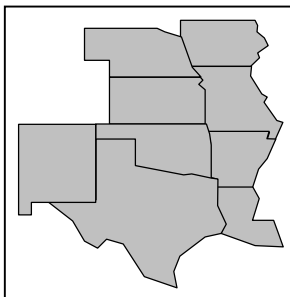
The NPFC operates within a Case Team concept for incident-specific regional actions. There are four case teams assigned to the geographic areas of the United States, specifically: the Southeast, the Gulf Coast, the West Coast, and the Northeast. The Case Team includes legal, financial, and claims specialists. In any incident, the Case Team is responsible for carrying out NPFC missions, which include fund management, cost recovery, and OPA claims adjudication.

The NPFC Regional Manager and Case Team work directly with the FOSC to carry out NPFC responsibilities and to assist the FOSC. Case officers are available to provide advice and assistance 24 hours a day. Information relating to specific cases may be obtained from the Regional Manager.





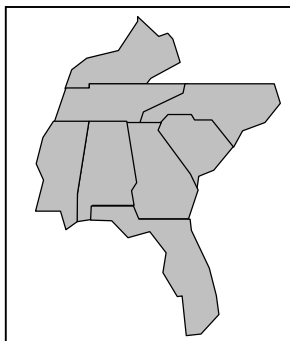
NPFC FUNCTIONAL CONTACTS



TEAM I

(202) 493-6723

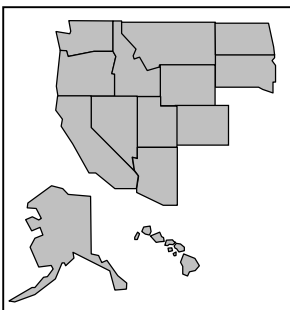
Responsible for most of CGD8 and EPA Regions VI and VII. Includes: Texas, New Mexico, Louisiana, Arkansas, Oklahoma, Missouri, Kansas, Nebraska, Iowa, and COTP Mobile zones. Does not include CGD8 Memphis, Paducah, Louisville, Huntington, and Pittsburgh COTP zones. Includes COTP Mobile zones.



TEAM II

(202) 493-6726

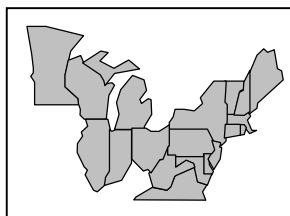
Responsible for CGD7, portions of CGD5 and CGD8; EPA Region II (Caribbean Section) and EPA Region IV. Includes CGD8 Memphis, Paducah, Louisville, Huntington, and Pittsburgh COTP zones; CDG5 Hampton Roads and Wilmington COTP zones; Virgin Islands, Puerto Rico, Florida, Georgia, South Carolina, Tennessee, North Carolina, Kentucky, Alabama, Mississippi, Virginia (COTP Hampton Roads zone only), and Pennsylvania (COTP Pittsburgh zone only).



TEAM III

(202) 493-6729

Responsible for CGD11, CGD13, CDG14, CDG17, and EPA Regions VIII, IX, and X. Includes: Arizona, California, Nevada, Utah, Colorado, North Dakota, South Dakota, Wyoming, Montana, Idaho, Washington, Oregon, Alaska, Hawaii, Guam, and American Samoa.



TEAM IV

(202) 493-6732

Responsible for CGD1, CGD9, portions of CGD5, and EPA Regions I, II, III, and V. Includes CDG5 COTP Philadelphia and Baltimore zones; Minnesota, Michigan, Wisconsin, Illinois, Indiana, Ohio, New York, Vermont, New Hampshire, New Jersey, Connecticut, Rhode Island, Massachusetts, Maine, Virginia (less COTP Hampton Roads zone), West Virginia, Pennsylvania (less COTP Pittsburgh zone), Maryland, Delaware, and Washington, D.C.

CERCLA Contacts

James Goulden	(202) 493-6810
Jack Crawford	(202) 493-6811
CLAIMS and NRD Claims	(800) 280-7118
Case Management	(800) 358-2897
Command Duty Officer Pager	(800) 759-7243
	(PIN # 2073906)
Outreach Coordinator	(202) 493-6999



NPFC SENIOR MANAGEMENT

Director	Ms. Jan P. Lane (202) 493-6700
Deputy Director	Mr. William R. Grawe (202) 493-6700
Chief, Case Management	Mr. Timothy G. Eastman (202) 493-6721
Chief, Claims	Ms. Linda F. Burdette (202) 493-6831
Chief, Customer Service	Ms. Dana C. Compton (202) 493-6713
Chief, Financial Management	Mr. Darrell W. Neily (202) 493-6800
Chief, Information Technology	Mr. George A. Cognet (202) 493-6761
Chief, Legal	Mr. Derek A. Capizzi (202) 493-6751
Chief, Natural Resource Damage Claims (Acting)	Ms. Carolyn L. Boltin (202) 493-6864
Chief, Vessel Certification	Mr. Edmund C. Armstrong (202) 493-6782 COFR Inquiries (202) 493-6780 Vessel Certification FAX (202) 493-6781